

IFW 2628

PTO/SB/21 (09-04)
Approved for use through 07/31/2006. OMB 0651-0031
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TRANSMITTAL FORM (to be used for all correspondence after initial filing) JUL 28 2006 PATENT & TRADEMARK OFFICE	Application Number	10/084,401
	Filing Date	February 25, 2002
	First Named Inventor	Evans
	Art Unit	2628
	Examiner Name	Jeffery A. Brier
	Attorney Docket Number	013.0226.US.UTL

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	Statement under 37 CFR 1.97(e)
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	Copy of Supplementary European Search Report
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	Law Offices of Patrick J.S. Inouye		
Signature			
Printed name	Patrick J.S. Inouye		
Date	July 25, 2006	Reg. No.	40297

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Signature			
Typed or printed name	Lali Liparteliani	Date	July 25, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Lynne Evans

Serial No.: 10/084,401

Filed: February 25, 2002

Title: System And Method For Arranging
Concept Clusters In Thematic
Relationships In A Two-Dimensional
Visual Display Space

Group Art Unit: 2628

Examiner: Jeffery A. Brier

Attorney Docket No.: 013.0226.US.UTL

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

☐ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)

☒ under 37 CFR 1.97(c) together with either a:
☒ Statement under 37 CFR 1.97(e), or
☐ a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)

☐ under 37 CFR 1.97(d) together with a:
☐ Statement under 37 CFR 1.97(e), and
☐ a \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)


☒ Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the attached references is that this is the closest art of which Applicant is aware. Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

Customer No. 22895



Patrick J.S. Inouye
Attorney/Agent for Applicant(s)
Reg. No. 40297

Date: July 25, 2006

Telephone No.: (206) 381-3900



Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Complete if Known

Application Number	10/084,401
Filing Date	February 25, 2002
First Named Inventor	Evans
Art Unit	2628
Examiner Name	Jeffery A. Brier
Attorney Docket Number	013.0226.US.UTL

Sheet	1	of	2	Attorney Docket Number	013.0226.US.UTL
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[illegible][illegible]

Date	
Considered	

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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>			Complete if Known		
			Application Number	10/084,401	
			Filing Date	February 25, 2002	
			First Named Inventor	Evans	
			Art Unit	2628	
			Examiner Name	Jeffery A. Brier	
Sheet	2	of	2	Attorney Docket Number	013.0226.US.UTL

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		V. ESTIVILL-CASTRO AND I. LEE: "AMOEBAS: Hierarchical Clustering Based On Spatial Proximity using Delaunay Triangulation", "1999 ACM SIGMOD INTERNATIONAL CONFERENCE ON MANAGEMENT OF DATA, vol. 28, no. 2, 1 June 1999 (1999-06-01), -3 June 1999 (1999-06-03) pages 49-60, Philadelphia, PA, USA	
		P. EADES, F. QING-WEN: "Multilevel Visualization of Clustered Graphs" PROCEEDINGS OF GRAPH DRAWING '96, LECTURE NOTES IN COMPUTER SCIENCE, NR. 1190, 18 September 1996 (1996-09-18), -20 September 1996 (1996-09-20) pages 101-112, Berkeley, CA, USA ISBN: 3-540-62495-3	
		P. EADES, QUING-WEN FEN: "Orthogonal grid drawing of clustered graphs" TECHNICAL REPORT 96-04, [Online] 1996, University of Newcastle, AU Retrieved from the internet: URL: http://citeseer.ist.psu.edu/eades96ort_hogonal.html [retrieved on 2006-05-29]	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Evans Lynne

Serial No.: 10/804,401

Filing Date: 2/25/2002

Group Art Unit: 2628

Examiner: Jeffery A. Brier

Title: System And Method For Arranging
Concept Clusters In Thematic
Relationships In A Two-Dimensional
Visual Display Space

Attorney Docket No.: 013.0226.US.UTL

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

STATEMENT UNDER 37 CFR 1.97(e)

Sir:

The undersigned hereby certifies that either:

- (X) Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, not more than three months prior to the filing of the statement, or
- () No item of information contained in the Information Disclosure Statement
- was cited in a communication from a foreign patent office in a counterpart foreign application, and
 - to the knowledge of the undersigned, after making reasonable inquiry, was known to an individual designated in 37 CFR 1.56 (c) more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

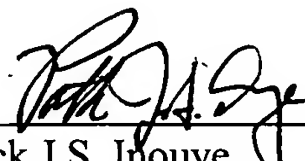
Customer No. 22895

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Date of Deposit: July 25, 2006

Typed Name: Lali Liparteliani

Signature: L. Liparteliani


Patrick J.S. Inouye
Attorney/Agent for Applicant(s)
Reg. No. 40297

Date: July 25, 2006

Telephone No.: (206) 381-3900